# <u>CSCE 2211 Exercises</u> <u>Exercises (4): Self-Balancing Trees</u>

1. Find the minimum number of nodes required to construct an AVL Tree of height h = 5.

# <u>Solution:</u>

N(h) = N(h-1) + N(h-2) + 1 for h > 2 with N(1) = 1 and N(2) = 2N(3) = 4, N(4) = 7 so that N(5) = 12

2. Find the maximum height required to construct AVL Tree of 375 nodes.

# **Solution:** Using the formula $h \le$ floor (1.44 log n +0.5), then for n = 375, h (max) = 12

- 3. Find the maximum height required to construct AVL Trees of 1K nodes and 1M nodes.
- 4. Suppose the number of nodes in an AVL tree is (n 2<sup>n</sup>). Which complexity is True from the following worst case search costs for an element in that tree:
  (a) O(n log n)
  (b) O(n 2<sup>n</sup>)
  (c) O(n)
  (d) O(log n)

Explain the reasoning behind your choice.

#### Solution:

# Choice (c) is True. Complexity is *O(n)*.

Why?: The worst case cost of search in an AVL tree is  $O(\log N)$ , where N is the total number of nodes. Since  $N = n 2^n$ , then  $\log N = \log n + n \log 2 = \log n + n$ .  $O(\log N) = O(\log n) + O(n) = O(n)$ 

5. Consider the sequence of keys: 60, 100, 20, 80, 120, 70. Show the steps of insertion in an AVL tree of this sequence.

#### Solution:

After BST insertion of 60, 100, 20, 80, 120, the AVL tree is:



- Insert the following sequence of keys into an AVL tree, starting with an empty tree (show each step): 12, 24,14, 27, 35, 17,19, 22. In the AVL tree you finally got, delete 27 (show the steps).
- 7. Insert the following sequence of keys into Red-Black tree, starting with an empty tree (show each step): 2, 1, 4, 5, 9, 3, 6, 7





- 8. Insert the following sequence of keys into Red-Black tree, starting with an empty tree (show each step): 21, 11, 35, 51, 60
- 9. Indicate for each of the following statements if it is true or false (Justify your answers).
  - (a) The subtree of the root of a red-black tree is always itself a red-black tree.
  - (b) The worst-case time complexity of the insert operation into an AVL tree is *O* (*log n*), where n is the number of nodes in the tree.
  - (c) The worst-case time complexity of the search operation into a Red-Black tree is *O* (*log n*), where n is the number of nodes in the tree.

# Solution:

- (a) **FALSE.** The root of a Red-Black must be black, by definition. It is possible for the child of the root of a red-black tree to be red. Therefore, it is possible for the subtree of the root of a red-black tree to have a red root, meaning that it cannot be a red-black tree. So, the statement is false.
- (b) **TRUE.** The work of all AVL tree operations is O(h) where h is the height of the tree. AVL rotations ensure that h is  $O(\log n)$ . Therefore, insertion must be  $O(\log n)$ .
- (c) **TRUE.** For a Red-Black tree, the height is  $h \le 2 \log (n+1)$ , then  $h = O(\log n)$ . The queries SEARCH, MIN, MAX, SUCCESSOR, and PREDECESSOR all run in O(h). Hence, all queries run in  $O(\log n)$  time